

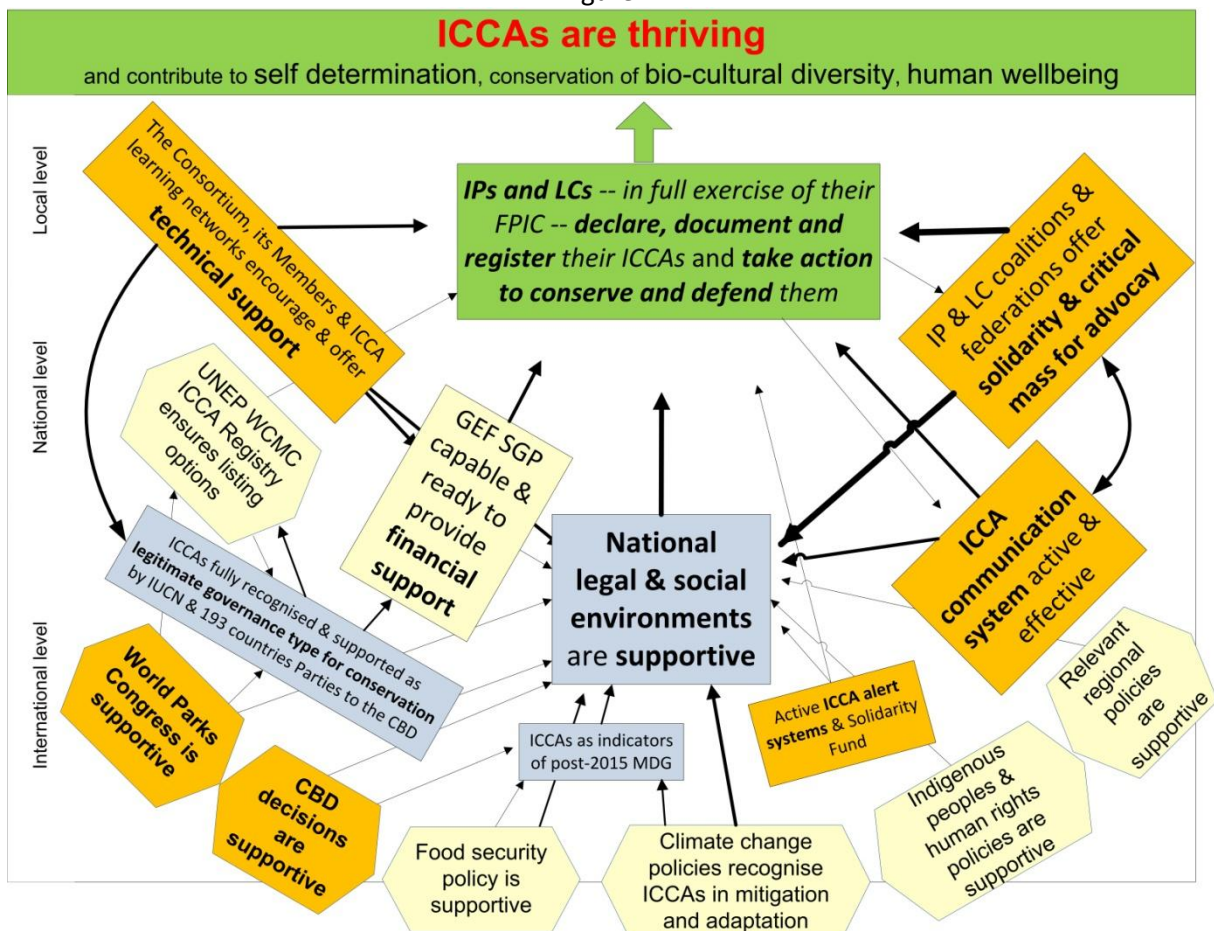
ICCA Consortium Association Summary Work Plan and Budget 2015

Overall vision and goal

This Consortium Work Plan for 2015 is based on the ongoing ICCA Consortium Work Plan 2014-2017, the UNDP supported *Global ICCA Support Initiative (GSI)*, expected to start in early 2015, and the Christensen Fund initiative entitled *Crucial assistance to the Global ICCA Support Initiative 2014-2017*, which began in July 2014.

An “ICCA” is a **governance modality for the natural commons**— land, water and natural resources— that combines **respect for nature and culture** and **grounding in community history and institutions**. The following sketch diagram (Figure 1) depicts the way in which the Consortium conceives its strategic role (in deep yellow are the activities where the Consortium has consolidated its work up to 2014, while roles and initiatives expected to increase in importance are in lighter yellow). The **key actors** for strengthening and conserving ICCAs are the **indigenous peoples and local communities** with relevant collective rights and responsibilities and governance capacities. The Consortium cannot and does not substitute for them. It can, however, help them to secure their desired **technical, legal and financial support** and the **solidarity from diverse actors in society**, including by **coalitions and federations** of indigenous peoples and communities knowledgeable and engaged about ICCAs, competent NGOs, and national and international agencies. In close collaboration with its members, **the Consortium encourages and assists these relationships** and the generation of a **critical mass of support for change in governance policy and practice** towards thriving ICCAs.

Figure 1



Means

In 2014, The ICCA Consortium was awarded a grant of US\$ 185,000 by The Christensen Fund, to be disbursed over two years (from 1st July 2014 until 30th June 2016). In addition it is expecting to receive a grant by the UNDP to implement an ICCA Global Support Initiative (GSI) throughout the world. The grant has a focus on 26 pilot countries where ICCA initiatives will be supported by GEF SGP with the technical advice and back-up of the ICCA Consortium, the IUCN Global Protected Areas Programme and UNEP WCMC. The grant will be disbursed over two and a half years, hopefully with a retroactive start in Jan 1st, 2015 and ending on December 31, 2017 and it is expected to amount to US\$ 496,000. *We have been discussing this grant for 14 months, but no contract has been issued yet.*

With the support of these two grants the Consortium is poised to carry out initiatives at local, national, regional and global levels—initiatives that are fully integrated and in line with the Consortium own mission and vision. As a matter of fact, the grant proposals were written on the basis of such mission and vision. Because of that, **we make no distinction between the work plan of the Consortium as an institution and the plans necessary to accomplish work as part of the two mentioned grants.** An issue to ponder is the fact that the UNDP grant makes reference to a series of countries where a Consortium coordinator is not immediately available to start activities. As part of the GSI work we will need to augment and/or shift the area of work of our Coordinators.

Objectives

TCF funding is dedicated to support the activities of the Consortium that have an international focus. It will be used to back-up the work of Consortium Members with the development of a stronger **communication system**, to produce further Policy Briefs and technical analyses, to lobby actively to appreciate and include ICCAs in **international policies**, to strengthen the **ICCA Alert Mechanism** and to develop an **ICCA Solidarity Fund**.

UNDP funding is dedicated to local, national and regional initiatives. It will **assist UNDP GEF SGP** in providing **small grants and other forms of support** for the appropriate recognition and protection of ICCAs (e.g., by identifying ICCAs, facilitating the submission of appropriate initiatives, helping in monitoring progress), it will promote and assist national **ICCA coalitions and federations**, it will encourage and assist national **ICCA situation analyses and legal analyses** (to be separately financed by GSI Work Package 2) and it will promote and facilitate **regional exchanges and strengthening of capacities** (“regional ICCA events” and “regional learning networks”, to be separately financed by GSI Work Package 3). All of the above will be backed up by appropriate research, compilation and diffusion of **relevant information** and by punctual collaboration with the IUCN Global Protected Areas Programme and UNEP WCMC as part of the GSI. These activities will focus on **at least 26 countries**.

Activities

Under the terms of the TCF Grant, the Consortium will:

- Secure dedicated help to review, restructure, plan and implement a **global ICCA communication strategy** to expand the reach of ICCA information via a variety of avenues
- Engage Consortium Members in documenting the coverage, characteristics and values of ICCAs across the world, and in producing a series of **Policy Briefs** targeting policy and decision makers.
- Continue to infuse information and analyses on ICCAs in a variety of **documents and publications** (e.g., to provide key reference in the field of protected areas)

- Support Consortium Members to actively participate in **international policy meetings** relevant for indigenous peoples’ rights, human rights, climate change, food security and sovereignty and post-2015 Millennium Development Goals.
- Secure dedicated help to review and strengthen the **Consortium’s ICCA Alert mechanism**, in conjunction with the review of its overall ICCA Communication System.
- Establish a **Solidarity Fund for Defenders of the Commons and ICCAs** (special two-day gathering among Consortium Members and organizations and Foundations concerned with the commons).

Under the terms of the UNDP Grant, the Consortium will focus on the 26 pilot countries of the GSI (see the table below) and there will:

- Organise **regional ICCA capacity development initiatives** and networks for representatives of indigenous peoples and local communities, supporting NGOs, SGP Coordinators and Committees, national governments, aid agencies and other relevant actors.
- **Research, compile and diffuse ICCA-relevant information** using a variety of media suitable for a range of audiences (e.g., photostories, video-stories, inventories, typologies).
- Assist UNDP GEF SGP in providing **small grants and other forms of support for the appropriate recognition and protection of ICCAs**.
- Support GSI to review, develop and refine an effective tracking tool for the **assessment of conservation and sustainable livelihoods results and governance vitality of ICCAs**
- Collaborate with **UNEP WCMC** for the effective running, population and appropriate utilisation of the **Global ICCA Registry**.
- Collaborate with the **IUCN** Global Programme on Protected Areas (GPAP) in delivering six **assessments of the national governance context for protected areas and ICCAs**.

The following list of countries has been received from UNDP to be included in the Global ICCA Support Initiative. The Consortium will thus pay special attention to such countries, but by no means will neglect others, and in particular those where ICCA initiatives are already on-going.

	Latin America	Asia	Africa
Work Package 1 & 2	Argentina	Indonesia	Benin
	Paraguay	Malaysia	Madagascar
		Vietnam	Morocco
	Belize	Maldives	Senegal
	Guatemala	Kyrgyzstan	Tanzania
	Peru	Iran	Zambia
			Namibia
Work Package 2 & 3	Brazil	Philippines	Kenya
	Colombia	Georgia	
	Ecuador	Jordan	

Work Package 1 (WP1): Direct support provided to community-based demonstration and action **small grants in support of ICCAs**

Work Package 2 (WP2): **Legal, policy and other forms of support for ICCA recognition and conservation** (including governance assessments of protected areas and landscapes):

Work Package 3 (WP3): Networking, knowledge production and exchange among national initiatives at regional and global levels

Capacity building and networking

In January 2015, the Consortium organised and facilitated the **GSI Inception meeting** at IUCN Hq., with extensive multi-country participation from the Consortium. Currently, it is supporting all the Regional UNDP GEF SGP workshops for the launch of the Operational Program no.6 (OP6), where GSI initiatives are embedded. In all such events, different Consortium representatives are called to animate a one day workshop on ICCAs for UNDP GEF SGP National Coordinators. Events and animators (TBC) are listed below:

Region	location	animators (TBC)
Asia	Bangkok	Dave de Vera and Neema Pathak Broome
Latin America	Dominican Republic	Taghi M. Farvar and Lorena Arce
Africa (Anglophone countries)	Lusaka	Grazia BF and (to be determined – possibly new Coordinator in the region)
Arab & European countries	Jordan	Mina Esteghamat and Sergio Couto
Africa (francophone countries)	Morocco	Grazia BF and Dominique Bikaba

With IUCN, initiatives are underway in the Philippines and Iran to support processes to improve the governance of national protected area systems. Further country-specific cooperation initiatives will be planned in 2015 to take place in Ecuador, Indonesia and Senegal, among others. Regional Learning Networks are a promising cooperation modality requiring dedicated funding.

With UNEP WCMC, we expect to hold a meeting early in 2015— likely at the end of March—to review the operations of the ICCA Registry, its governance system and cooperation with other GSI initiatives.

The Consortium has preliminarily planned several **ICCA capacity building events**:

1. **Preparatory workshop for Latin America (Ecuador April 2015)**
2. Preparatory workshop for Anglophone Africa (**Zambia** April 2015??)
3. Preparatory workshop for Europe & Central Asia (**Jordan** May 2015??)
4. **Regional ICCA event** for Andes and Amazon region (**Ecuador** July 2015) (it may be possible to organise in conjunction the 9th Consortium General Assembly)
5. **Regional ICCA event** in South-East Asia (**Indonesia**, August 2015)
6. **Regional ICCA event** for Southern Africa (**Namibia**, October 2015)
7. ICCA event for Madagascar (November 2015??)

Law and policy

The Consortium is developing a **Working Group on Law and Policy** comprising several teams:

- ICCAs and Indigenous peoples' rights and human rights
- ICCAs and food and agriculture law and policy (including fishing, farming and pastoralism)
- ICCAs and land and water law and policy
- ICCAs and climate change and energy law and policy
- ICCAs and conservation of nature law and policy (including biodiversity, forests and combating desertification)

- ICCAs and sustainable development policy
- ICCAs and standards and safeguards for finance and business

A call for members of the group and its teams is about to go out. Each team will develop a clear overarching **strategy**, with visionary but realistic objectives; identify key international laws, policies and related **events / meetings** where such objectives can be advanced; carry out key **preparatory work** ahead of such meetings, including written submissions and guidance notes for participants to organise events and undertake effective advocacy initiatives; as necessary, and in association with the Consortium’s secretariat, **fundraise** to ensure that the members of the Consortium’s working groups can attend the meetings; 5. compile and share **reports on negotiations and law and policy outcomes** and further initiatives with the Consortium’s membership at large; and identify and **engage** with other policy-related initiatives that may be needed in between the “policy milestone events” (e.g. contributing to public consultations). The work of each team will dovetail with the work for the production of **new Consortium Policy Briefs series**.

Budget 2015

DIRECT COSTS	(US\$ K)
Personnel	
Regional coordinators, Programme Manager, Programme Assistant, Communication Officer, Law & Policy Coordinator, Strategy Advisor (approx. 24 , all semi-volunteer)	156
Consultants, services and products	
Communication strategy applied at international and local level (Policy Briefs, ICCA Video, events, photostories, radio programmes...) and support to critical local initiatives and capacity building (e.g. ICCA Federations)	43
Travel and meetings	
Travel and meetings for local, national, regional and international initiatives and events	30
INDIRECT COSTS	
Communication and office support, bank, accounting, IT maintenance and support etc.	25
total	254

Note 1: As of Feb. 15, 2015, funding for this budget is in part secured (approx. US\$ 60,000 from TCF) and the remaining US\$ 194,000 are still expected from GSI.

Note 2: While the TCF support can cover all world regions, GSI focuses on developing countries only. This is why we need to seek further funding for field initiatives in Western Europe, North America, and Australia. We are active seeking such funding.

Activity timeline for 2015 (version 15.02.2015)

Activity	Time											
	jan	feb	mar	apr	ma y	jun	jul	aug	sep	oct	nov	dec
GSI Inception event (Switzerland)												
Collaboration with UNDP GEF SGP for regional capacity building events for OP6												
Regional preparatory workshops and capacity building events and launch of regional learning networks												
ICCA Registry guidelines meeting at UNEP WCMC												
Consortium Extraordinary General Assembly – Cambridge (UK)												
Cooperation with IUCN in processes of governance improvement for national PA systems (Iran, Philippines, Senegal, Ecuador...)												
ICCA Consortium IX General Assembly (Ecuador? Switzerland? Namibia?)												
Links and support to ICCA communities in the field — e.g., via support to ICCA intergeneration groups; photostories and self-assessment of conservation, sustainable livelihoods and governance vitality of ICCAs; community initiatives and development of GEF SGP small grants; national ICCA networking; registration of ICCAs in UNEP WCMC database												
Report and action for new communication strategy												
Collaboration with GEF SGP in ICCA information diffusion, assessment and delivery services in as many countries as possible, including via new and/or deepened ICCA country studies.												
ICCA communication strategy and ICCA Alert Mechanism reviewed and revamped (e.g., ICCA video, link with Survival International, Avaaz, etc.)												
Policy Briefs on ICCAs and land tenure, ICCAs and PAs overlaps, IPs and LCs, agricultural policy and ICCAs (example of EU) – consultancies and production												
Participation in international policy meetings – (as agreed by specific teams in charge)												
Meeting to plan & launch the Solidarity Fund for Defenders of the Commons and ICCAs (and consultation to prepare it)												
Management of the Consortium Association												