

New Salem, MA  
USA 01355  
August 27, 2016

## Treasurer's Report 2015

This report presents the status of the Consortium's bank accounts and financial activities for 2015, and reviews the amount and types of expenditures.

During 2015 the Consortium resumed normal activities with funding from our two-year grant from the Christensen Fund and the first funds from a three-year grant from UNDP for GSI activities. This followed reduced activities during 2014 because of lack of funds while awaiting the initiation of the UNDP grant, a year in which we relied heavily on volunteer efforts and focused much of our funded work on the IUCN 2014 World Parks Congress and associated meetings.

We began GSI work on January 1, 2015. Because the first instalment of the UNDP funds was not secured and did not arrive until the end of June we bridged the gap by advancing our own contingency funds to support GSI activities (reimbursing these at the end of June). This enabled us to once again provide support to regional coordinators who worked as volunteers during 2014.

This Treasurer's Report reviews I) the status and financial activity of the Consortium's several organizational accounts in various currencies; II) the status and financial activity of the Consortium's accounts dedicated to specific projects; III) the overall view of income and expenditures of the Consortium during 2015; and IV) funds available for 2016.

### I. Organisation accounts

The Consortium maintains several accounts in Switzerland and one account is maintained on our behalf by the treasurer in the USA. The amounts of funds that the Consortium had at its disposal at the beginning of 2015 and on December 31, 2015 are shown in Table 1:

**Table 1: Available Funds. Consortium Organization Accounts, January 1, 2015 and December 31, 2015**

<b>Name and number of account</b>	<b>CHF equivalent Jan 1, 2015</b>	<b>CHF equivalent Dec. 31, 2015</b>
Consortium APAC organization Account US\$	67,811.26	73,417.61
Consortium APAC organization Account Swiss Francs	35,360.20	51,486.00
Consortium APAC organization Account Euro.	3,447.80	1,111.43
Funds held in a Treasurer's Account at Bank of America US\$	2,372.04	2,373.53
Cash	719.20	472.23
<b>Grand Total</b>	<b>109,710.50</b>	<b>128,860.79</b>

<b>01.01.2015</b>		<b>31.12.2015</b>	
chf-usd	1.01	chf-usd	1
eur-usd	1.21	eur-usd	1.09
usd-chf	0.99	usd-chf	0.99
eur-chf	1.2	eur-chf	1.08

### **Transactions 2015**

Financial activity for each of the several Consortium organization accounts is reported below.

#### **1. Switzerland Dollar Account**

The Consortium holds funds in a dollar account at the Banque Cantonale Vaudoise in Switzerland. At the outset of 2015 the funds in this account were equivalent to CHF 67,811.26. These were primarily the amount saved from the 2011-2013 grant from UNDP-EEG that was completed at the end of 2013.

Activity from this account in 2015 consisted of advance of funds for service consultancies that were reimbursed during June from the UNDP grant, payment of bank fees, and expenditures for travel to the IUCN World Parks Congress in Sydney, Australia and the Indigenous Terra Madre event in Shillong, India – later reimbursed in toto by a separate grant received.

#### **2. Switzerland CHF account**

The Consortium began 2015 with funds in this account that remain from annual member dues and funds remaining from a 2014 IUCN grant of CHF 64,000 for a “Grant to mobilize a global network of protected area governance experts” for activities to be undertaken from July 1, 2014 to February 15, 2015. During 2015 we received an additional CHF 16,000 as the final installment of this grant, whose activities were completed and reported upon early in 2015, as well as an 8,000 CHF grant from IUCN to hold an ICCA event in Ecuador in December and 287 CHF in annual member dues. During 2015 the only expenses paid from this account were bank fees, but there were a number of accrued expenses associated with the Ecuador meeting that will be due in 2016.

### 3. Switzerland Euro account and US\$ account in the USA

During 2015 the Consortium maintained two other organization accounts not dedicated to any specific grant: a Euro account in Switzerland and a US dollars account held by the Treasurer in the USA on behalf of the Consortium. There was little activity in the Euro account and none in the US account. The only notable matter is that some funds that were hosted in the Euro account to support a fellowship of an ICCA student from Central African Republic (CAR) that were noted as accrued expenditures at the end of 2014 were indeed promptly disbursed in January 2015. Account balances for January 1, 2015 and December 31, 2015 are shown in Table 1 above.

## II. Project-restricted funds

In 2015 we maintained three project dedicated accounts:

- 1) a US\$ account dedicated to a multi-year, US\$185,000 grant (24 months, July, 2014- June, 2016) from the Christensen Fund for “Crucial assistance to the Global ICCA Support Initiative 2014-2017”;
- 2) a US\$ account dedicated to a 3 year, \$496,000 grant from the UN Development Programme for activities contributing to the Global ICCA Support Initiative; and
- 3) a US\$ account dedicated to a grant from First Peoples Worldwide for “Territorial Delineation and Participatory Planning for the *Grand Houroumi* of the Farfarou Tribe of the Indigenous Fulani (Peuhl) Peoples of Niger/Nigeria/Algeria.”

**Table 2: Available Funds. Consortium Project Restricted Accounts, January 1, 2015 and December 31, 2015**

Name and number of account (all in Switzerland)	CHF equivalent Jan 1, 2015	CHF equivalent Dec. 31, 2015
US \$ Account for the Christensen Fund Project	63,819.02	69,952.81
US \$ Account for the UNDP project	-	101,353.54
US \$ Account for the Grand Houroumi Project	9,857.54	9,846.84
<b>Grand Total</b>	<b>73,676.56</b>	<b>181,153.18</b>

01.01.2015		31.12.2015	
chf-usd	1.01	chf-usd	1
eur-usd	1.21	eur-usd	1.09
usd-chf	0.99	usd-chf	0.99
eur-chf	1.2	eur-chf	1.08

### *Switzerland US\$ account for the Christensen Fund project*

During 2015 we received (\$75,000) as the final instalment of a 24 month, \$185,000 grant

(July 1, 2014-May 30, 2016) that provides backing to the Global ICCA Support Initiative to run from July 1, 2014 to June 30, 2016. In 2015 we expended funds primarily for service consultancies (Programme Manager, Programme assistants, ICCA Strategy Advisor) but also for other consultants including funding for the Consortium Policy Brief no.2, travel and meetings (including the Global Land Forum meeting in Senegal, the ILC meeting in Bilbao, the Little Sydney meeting in Austria, the Rights and Resources Initiative meeting on community land rights in Bern, and the EFNCN meeting in Brussels), publications and publicity, bank and accounting fees, and indirect costs. There were a number of accrued expenses in December that will carry over into 2016, including for printing briefing note #2, consultancies, and travel.

***Switzerland US\$ account for the UNDP project***

On June 18, 2015 we received the first instalment (\$99,990 of a 36 month, \$496,000 grant that provides backing to the Global ICCA Support Initiative—initially supposed to run from July 1, 2014 to June 30, 2016 but now funded to run from January 1, 2015 to December 31, 2017.<sup>1</sup> In November, 2015 we received the second instalment of US\$70,000. During 2015 we expended funds primarily for consultancies to regional coordinators and the International Policy Assistant, but also for a consultancy to produce a report on ICCAs in Xinjiang Province of China, travel and meetings (primarily for a meeting of Consortium Latin American coordinators and members in Tabacundo, Ecuador and also for travel and accommodation of regional coordinators in West Africa, Europe, Southeast Asia and Brazil), and for auditing and accounting expenses.

***Switzerland US\$ account for the Grand Houroumi project***

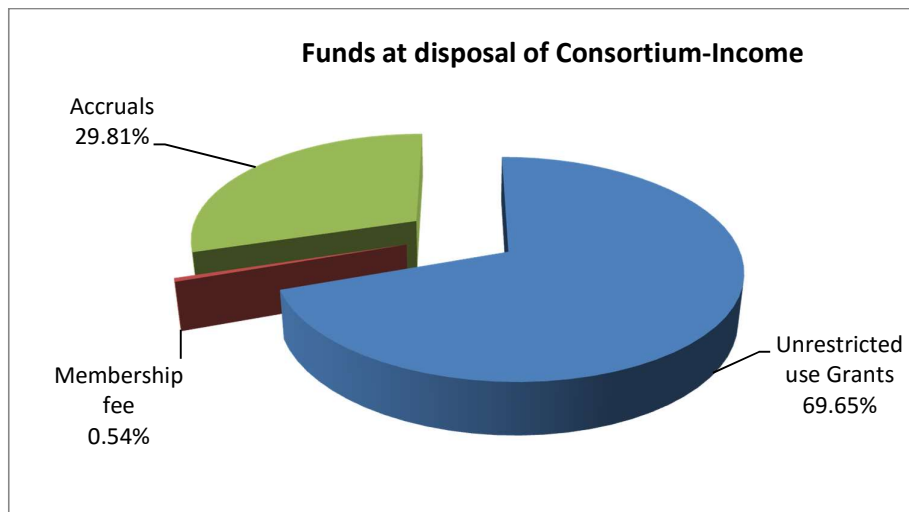
Funds for the Grand Houroumi project, CHF 9,869.07 (equivalent), have not yet begun to be spent other than to cover bank fees. Political, logistical and financial difficulties are responsible for the delay. The President of the Consortium is seeking additional funding to cover this initiative.

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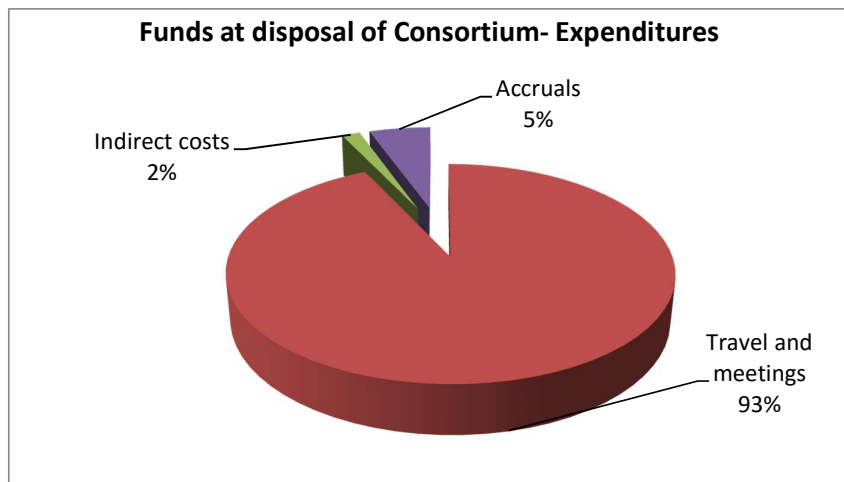
<sup>1</sup> This grant is in support of “Civil society coordination and engagement of Global Environment Facility Small Grants Programme (“GEF SGP”) country teams under the Global Support Initiative for indigenous peoples’ and community conserved areas and territories (“ICCA-GSI”) towards the achievement of the Convention on Biological Diversity (“CBD”) Aichi 2020 strategic targets”

**III. Total income and expenditure of the Consortium, 2015**

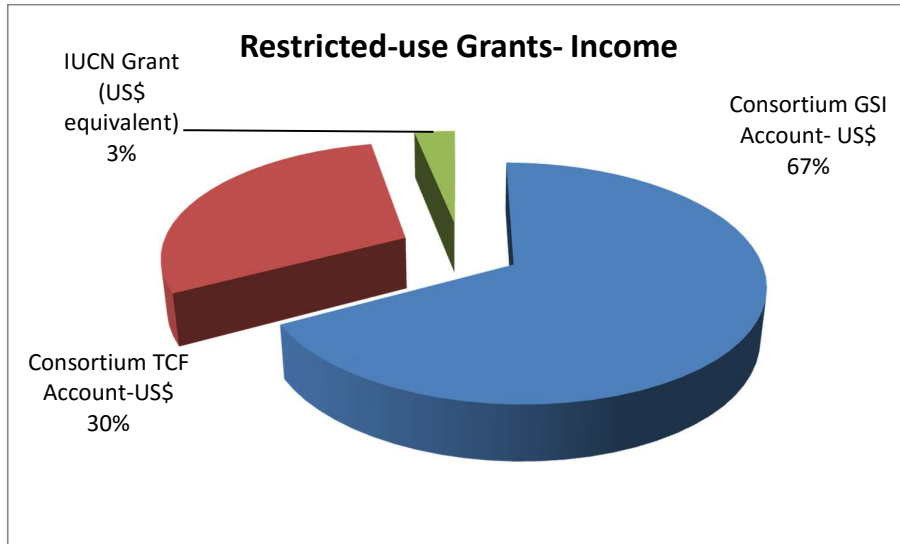
**Chart 1. Income 2015 to unrestricted accounts: total = 26,999.35 CHF equivalent**



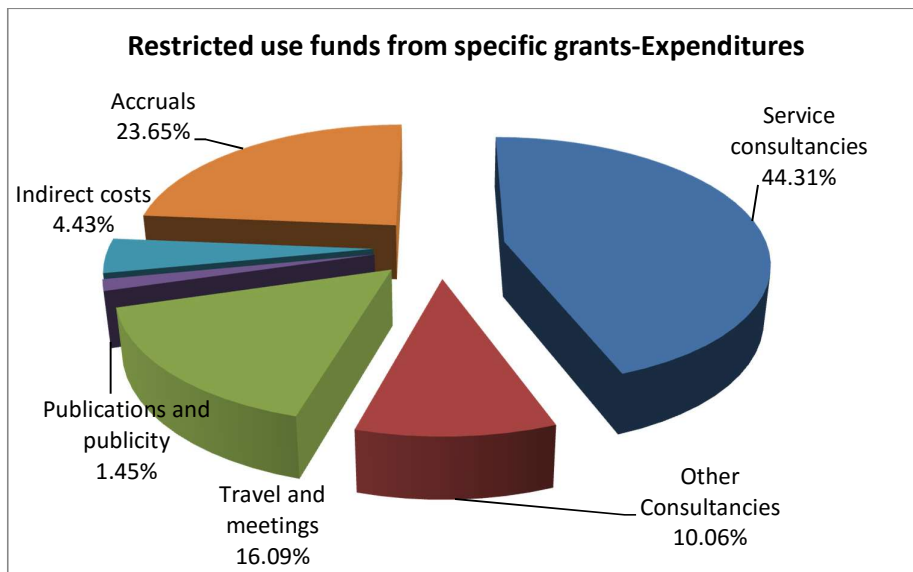
**Chart 2. Expenditures from the unrestricted Consortium accounts, 2015 total = 3,524.59 CHF equivalent**



**Chart 3. Income to the project restricted Consortium accounts, 2015: total =250,460.10 CHF equivalent**



**Chart 4. Expenditures from the project restricted Consortium accounts, 2015: total = 169,187.80 CHF equivalent**



#### **IV. Funds available for 2016**

For operations during 2016 the Consortium has at its disposal the funds in its various accounts at the end of 2015 (310,013.97 CHF equivalent, minus accrued expenses from late 2015 due for payment in 2016) and anticipated income from the UNDP SGI grant (US\$150,000) and member dues. The Consortium has also applied for further funding from the Christensen Fund, is entertaining relationships with donors and is gearing up to expand its

work with the organization of an initiative known as SAFE – Solidarity Action and Fund for the Defenders of the Commons and ICCAs.

## V. Appendices

Appended to this report are the Balance Sheet and Income & Expenditure Account for the Consortium for 2016 in the format requested by the Swiss authorities.

Sincerely,

A handwritten signature in cursive script that reads "Stan Stevens".

Stan Stevens  
Treasurer